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GOVERNMENT GAZETTE

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**DEPARTMENT OF MINERALS AND ENERGY
DEPARTMENT VAN MINERALE EN ENERGIE**

NO. R.

June 2006

**DIAMONDS ACT, 1986 (ACT 56 OF 1986)
AMENDMENT OF REGULATIONS**

The Minister of Minerals and Energy has in terms of section 95 of the Diamonds Act, 1986 (Act 56 of 1986), after consultation with the South African Diamond Board made the regulations set out in Schedule.

SCHEDULE

Definitions

1. In this Schedule, the “the Regulations” means the regulations promulgated by Government Notice No. R. 680 of 1 April 1987, as amended by Government Notices Nos. R. 462 of 16 April 1999, R. 1361 of 1 November 2002 and R. 519 of 11 April 2003.

Substitution of Regulation 1

1. The following regulation is hereby substituted for regulation 1 of the Regulations:

Definitions

1. In these Regulations any word or expression to which a meaning has been assigned in the Act shall have that meaning and, unless the context otherwise indicates-

“exclusionary act” means any act or practice which unfairly impedes or prevents any person from entering or staying in the diamond industry, or from entering or staying in any market connected to that industry.

“form” means a document or standard form attached to the regulations as Annexure I;

“the Act” means the Diamonds Act, 1986 (Act No.56 of 1986) as amended by Diamonds Amendment Act, 2005 (Act 29 of 2005) and Diamonds Second Amendment Act, 2005 (Act 30 of 2005).

Insertions of Regulation 2A to 2X

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2. The regulations are hereby inserted after regulation 2 of the Regulations-

Manner of lodging application

2A. (1) Any application for a licence, permit or certificate contemplated in the Act must be lodged by submitting an appropriate completed form contained in Annexure I, to the office of the Regulator at the relevant address specified in the appropriate form.

(2) All applications contemplated in sub regulation (1) must be lodged within working hours determined by the Regulator with the receiving official who must note thereon the date of such lodgement.

(3) The receiving official must issue a receipt to the applicant in respect of application lodged, indicating:

- (a) the name of the applicant;
- (b) the type of licence, permit, approval, endorsement or authorization applied for;
- (c) the amount of the non renewable application fee paid;
- (d) the name of the receiving official;
- (e) the date of receipt of the application;
- (f) a reference number; and
- (g) such other information as the regulator may deem fit.

Application for diamond dealer's licence

2B. (1) An application for a diamond dealer's licence contemplated in section 26 (a) of the Act must be completed in the form of Form DA contained in the Annexure, and must contain-

- (a) the name, surname and identity number of the applicant;
- (b) in the case of a company, close corporation, partnership or joint venture;
 - (i) the name and registration number of the company, close corporation, partnership or joint venture,
 - (ii) the name and identity number of the Managing Director or Member of the company, close corporation, partnership or joint venture;
 - (ii) the name and identity number of every Director; and
 - (iii) particulars of an interest held (%) in the juristic person and name of holder of the controlling interest.
- (c) the physical address of the premises in which the applicant will conduct business as a dealer;

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- (d) the sources from which unpolished diamonds will be obtained;
 - (e) details of the target market and the market requirement in respect of diamonds;
 - (f) particulars of criminal record if applicable; and
 - (g) details of applicant's financial ability.
- (2) The following documents must be lodged with an application contemplated in sub regulation (1):
- (a) a certified copy of an identity document;
 - (b) in the case of a company, close corporation, partnership or joint venture, certified copies of the certificate of incorporation, articles of association or founding statement (CK1);
 - (c) documentary proof that the applicant has obtained the necessary authority to apply in a representative capacity on behalf of the company, close corporation, partnership or joint venture, and a certified copy of the managing director or member;
 - (d) documentary proof of the registered business premises;
 - (e) the applicant's proposed business plan inclusive of the broad based socio-economic empowerment charter developed in terms of section 100 of the Mineral Petroleum Resources Development Act 28 of 2002;
 - (f) a tax clearance certificate; and
 - (g) a police clearance certificate.
- (3) An application for a diamond dealer's licence must be lodged together with the application fee contemplated in regulation 10.

Terms and conditions of diamond dealer's licence

2C. The holder of a diamond dealer's licence may-

- (a) buy an unpolished diamond from a producer, other dealer, or permit holder;
- (b) sell unpolished diamond to a dealer, diamond beneficiator or researcher;
- (c) import and export unpolished diamond; and
- (d) conduct business on the premises endorsed or approved by the Regulator.

Application for renewal of diamond dealer's licence

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2D (1) An application for the renewal of a diamond dealer's licence contemplated in section 32(2) (a) of the Act must be completed in the form of Form DB (i) contained in the Annexure, and must be accompanied by the information requested therein.

(2) The application for a renewal of a diamond dealer's licence must be lodged at least 30 days before the licence expires together with the application fee contemplated in regulation 10.

Issuing or refusal of diamond dealer's licence

2E (1) The Regulator may within 14 days issue a diamond dealer's licence if-

(a) the premises on which the applicant will conduct business complies with the requirements of the Act;

(b) the applicant has access to financial resources;

(c) the applicant has proven knowledge of unpolished diamond; and

(d) the applicant is not in contravention of the provision of the Act or any other law.

(2) The Regulator may refuse the issuing of a diamond dealer's licence if-

(a) the applicant does not meet all the requirements referred to in regulation 2B and sub-regulation (1); and

(b) the issuing of such licence will –

(i) result in an exclusionary act; or

(ii) prevent fair competition.

Application for diamond beneficiation licence

2F (1) An application for a diamond beneficiation licence contemplated in section 26(b) or (c) of the Act must be completed in the form of Form DC contained in the Annexure and must contain-

(a) the name, surname and identity number of the applicant;

(b) in the case of a company, close corporation, partnership or joint venture;

(i) the name and registration number of the company, close corporation, partnership or joint venture; partnership or joint venture;

(ii) the name and identity number of the Managing Director or Member of the company, close corporation, partnership or joint venture;

(iii) the name and identity number of every Director, and

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- (iv) particulars of an interest held (%) in the juristic person and name of holder of the controlling interest.
 - (c) the physical address of the premises in which the applicant will conduct business as a diamond beneficiary;
 - (d) a description of the applicant's technical ability or access to technical expertise;
 - (e) details of the applicant's financial ability;
 - (f) details of the target market and the market requirement in respect of diamond;
 - (g) the sources from which unpolished diamond will be obtained;
 - (h) particulars of criminal record if applicable; and
- (2) The following documents must be lodged with an application contemplated in sub regulation (1):-
- (a) a certified copy of an identity document;
 - (b) in the case of a company, close corporation, partnership or joint venture, certified copies of the certificate of incorporation and articles of association or founding statement (CK1);
 - (c) documentary proof that the applicant has obtained the necessary authority to apply in a representative capacity on behalf of the company, close corporation, partnership or joint venture, and a certified copy of the managing director or member;
 - (d) documentary proof of the registered business premises;
 - (e) a tax clearance certificate;
 - (f) the applicant's proposed business plan;
 - (g) proof of financial ability;
 - (h) detailed documentary proof of the applicant's technical ability or access to suitable expertise to conduct the diamond cut and polishing operation,
 - (i) a business plan inclusive of the broad based socio-economic empowerment charter developed in terms of section 100 of the Mineral Petroleum Resources Development Act 28 of 2002; and
 - (j) a police clearance certificate.
- (3) An application for a diamond beneficiation licence must be lodged together with an application fee contemplated in regulation 10.

Terms and conditions of diamond beneficiation licence

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2G. The holder of a diamond beneficiation licence may-

- (a) buy unpolished diamond from the state diamond trader, diamond exchange and export centre, diamond dealer, producers and/or at the Diamond Trading House or any other premises approved or endorsed by the Regulator;
- (b) polish any diamond or crush or set any unpolished diamond in any tool, implement or other article; or
- (c) conduct business on the premises endorsed or approved on the licence.

Issuing or refusal of diamond beneficiation licence

2H (1) The Regulator may within 30 days of lodgement issue a diamond beneficiation licence if-

- (a) the applicant has complied with the objectives of the broad based socio-economic empowerment charter developed in terms of section 100 of the Mineral Petroleum Resources Development Act 28 of 2002;
- (b) the premises on which the applicant will conduct business comply with the requirements of the Act;
- (c) the applicant has financial resources and technical ability to conduct the proposed beneficiation operation;
- (d) the applicant is not in contravention of the provision of the Act or any other law, and
- (e) the business plan is compatible with the intended beneficiation operation.

(2) The Regulator may refuse the issuing of a diamond beneficiation licence if-

- (a) the applicant does not meet all the requirements referred to in regulation 2F and sub- regulation (1); and
- (b) the issuing of such licence will –
 - (i) result in an exclusionary act; or
 - (ii) prevent fair competition.

Application for renewal of diamond beneficiation licence

2.I. (1) An application for the renewal of a diamond beneficiation licence contemplated in section 32(2) (a) of the Act must be completed in the form of Form DE contained in the Annexure, and must be accompanied by the information requested therein.

(2) The application for the renewal of a diamond beneficiation licence must be lodged at least 30 days before the licence expires together with an application fee specified in regulation 10.

Application for diamond research licence

2.J. (1) An application for a diamond research licence contemplated in section 26 (d) of the Act must be completed in the form of Form DF contained in Annexure I, and must contain-

- (a) the name, surname and identity number of the applicant;
- (b) in the case of a company, close corporation, partnership or joint venture;
 - (i) the name and registration number of the company, close corporation, partnership or joint venture;
 - (ii) the name and identity number of the Managing Director or Member of the company, close corporation, partnership or joint venture,
 - (iii) the name and identity number of every Director, and
 - (iv) particulars of an interest held (%) in the juristic person and name of holder of the controlling interest.
- c) the purpose of the applied research or test;
- (d) the sources from which unpolished diamonds will be obtained;
- (e) the physical address of the premises in which the applicant will conduct his or her research, and
- (f) particulars of criminal record if applicable.

(2) The following documents must be lodged with an application:

- (a) a certified copy of an identity document;
- (b) in the case of a company, close corporation, partnership or joint venture, certified copies of the certificate of incorporation, article of association or founding statement (CK1);
- (c) documentary proof that the applicant has obtained the necessary authority to apply in a representative capacity on behalf of the company, close corporation, partnership or joint venture; and a certified copy of the managing director or member;
- (d) documentary proof of the registered business premises; and
- (e) a police clearance certificate.

3. An application for a diamond research licence must be lodged together with an application fee contemplated in regulation 10.

Terms and conditions of a diamond research licence

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2. K. (1) The holder of a diamond research licence may-

(a) conduct applied research or test ,in connection with micro unpolished diamonds not exceeding 1mm in size or any additional conditions imposed by the Kimberley Process Certification Scheme, or

(b) conduct a research in the premises approved by the Regulator.

(2) The holder of a licence contemplated in sub regulation 1 (a) must not to polish diamond for the purpose of business or trade.

Issuing or refusal of diamond researcher licence

2. L. (1) The Regulator may issue a diamond research licence if-

(a) the premises on which the applicant will conduct his or her research complies with the requirements of the Act;

(b) the applicant has access to financial resources and has technical ability to conduct the applied research or test; and

(c) the applicant is not in contravention of the provision of [the](#) Act or any other law.

(2) The Regulator may refuse the issuing of a diamond beneficiation licence if the applicant does not meet all the requirements referred to in regulation 2.J and sub regulation (1).

Application for temporary diamond buyer's permit

2. M. (1) An application for a temporary buyer's permit contemplated in section 26(e) of the Act must be completed in the form of Form DG (i) contained in the Annexure and must contain-

(a) the name, surname and identity number of the applicant;

(b) in the case of a company, close corporation, partnership or joint venture,

(i) the name and registration number of the company, close corporation, partnership or joint venture;

(ii) the name and identity number of the Managing Director or Member of the company, close corporation, partnership or joint venture,

(iii) the name and identity number of every Director, and

(iv) particulars of an interest held (%) in the juristic person and name of holder of the controlling interest.

(c) proven knowledge of unpolished diamond;

(d) details of criminal record in respect of country of origin and South Africa, if applicable; and

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(e) details of the applicant's financial ability.

(2) The following documents must be lodged with an application contemplated in sub regulation (i):

(a) a certified copy of a passport;

(b) in the case of a company, close corporation, partnership or joint venture, certified copies of the certificate of incorporation, articles of association or founding statement (CK1);

(c) documentary proof that the applicant has obtained the necessary authority to apply in a representative capacity on behalf of the company, close corporation, partnership or joint venture; and a certified copy of the managing director or member;

(d) an affidavit setting forth the knowledge of unpolished diamond; and

(e) a police clearance certificates of country of origin and South Africa.

(3) An application for a temporary diamond buyer's permit must be lodged together with an application fee contemplated in regulation 10.

Issuing or refusal of a temporary diamond buyer's permit

2. N. (1) The Regulator may within 7 days issue a temporary diamond buyer's permit if-

(a) the applicant has access to financial resources;

(b) the applicant has proven knowledge of unpolished diamond; and

(c) the applicant is not in contravention of the provision of the Act or any other law.

(2) The Regulator may refuse the issuing of a temporary diamond buyer's permit if-

(a) the applicant does not meet all the requirements referred to in regulation 2.M. and sub- regulation (1); and

(b) the issuing of such licence will –

(i) result in an exclusionary act; or

(ii) prevent fair competition.

Application for diamond trading house licence

2.O. (1) An application for diamond trading house licence contemplated in section 26(f) of the Act must be completed in the form of Form DH contained in Annexure I, and must contain-

(a) the name, surname and identity number of the applicant;

(b) in the case of a company, close corporation, partnership or joint venture,

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- (i) the name and registration number of the company, close corporation, partnership or joint venture;
 - (ii) the name and identity number of the Managing Director or Member of the company, close corporation, partnership or joint venture,
 - (iii) the name and identity number of every Director, and
 - (iv) particulars of an interest held (%) in the juristic person and name of holder of the controlling interest.
- (c) the physical address of the premises in which the applicant will conduct business as a holder of a diamond trading house licence

(2) The following documents must be lodged with the application:-

- (a) a certified copy of an identity document
 - (b) in the case of a company, close corporation, partnership or joint venture, certified copies of the certificate of incorporation, articles of association or founding statement (CK1);
 - (c) documentary proof that the applicant has obtained the necessary authority to apply in a representative capacity on behalf of the company, close corporation, partnership or joint venture; and a certified copy of the managing director or member;
 - (d) documentary proof of the registered business premises; and
 - (e) a police clearance certificate.
- (3) An application for a diamond trading house must be lodged together with an application fee contemplated in regulation 10.

Terms and conditions of diamond trading house licence

2. P. (1) The holder of a diamond trading house licence may-

- (a) facilitate the buying and selling of unpolished diamond locally on premises registered in terms of section 47 of the Act; or
- (b) allow the viewing and transaction of diamonds on the premises of the diamond trading house.

(2) The holder of the diamond trading house licence must submit monthly register to the office of the Regulator on activities or transactions made on the premises of the diamond trading house.

Application for renewal of diamond t trading house licence

2.Q. (1) An application for a diamond t trading house licence contemplated in section 26(f) of the Act must be completed in the form of Form DK(i) contained in the Annexure , and must be accompanied by the information requested therein.

(2) The application for a renewal of a diamond trading house licence must be lodged at least 30 days before the licence expires together with an application fee contemplated in regulation 10.

Issuing or refusal of a diamond trading house licence

2. R. (1) The Regulator may within 7 days issue a diamond trading house licence if-

(a) the premises on which the applicant will facilitate the buying and selling of unpolished diamond complies with the requirements of the Act; and

(b) the applicant is not in contravention of the provision of this Act or any other law.

(2) The Regulator may refuse the issuing of a diamond trading house licence if the applicant does not meet all the requirements referred to in regulation 2.O. and sub- regulation (1).

Application for certificate entitling a person to be in lawful possession of an unpolished diamond

2. S. (1) An application for the certificate contemplated in section 26 (g) must be completed in the form of Form DK (ii) contained in the Annexure and must contain:

(a) the name, surname and identity number of the applicant;

(b) in the case of a company, close corporation, partnership or joint venture,

(i) the name and registration number of the company, close corporation, partnership or joint venture;

(ii) the name and identity number of the Managing Director or Member of the company, close corporation, partnership or joint venture,

(iii) the name and identity number of every Director, and

(iv) particulars of an interest held (%) in the juristic person and name of holder of the controlling interest.

(c) detailed information of the manner in which an unpolished diamond was acquired.

(2) The following documents must be lodged with the application-

(a) a certified copy of an identity document;

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- (b) in the case of a company, close corporation, partnership or joint venture, certified copies of the certificate of incorporation, articles of association or founding statement (CK1);
 - (c) documentary proof that the applicant has obtained the necessary authority to apply in a representative capacity on behalf of the company, close corporation, partnership or joint venture; and a certified copy of the managing director or member;
 - (d) a copy of the testament, codicil or will certified by the Master of the Supreme Court
 - (e) documentary proof indicating the manner of acquisition; and
- (3) The application for a certificate must be lodged together with an application fee referred to in regulation 10.

Terms and conditions of a certificate

- 2. T.** (1) The certificate entitles the holder to be in a lawful possession of unpolished diamond.
- (2) The holder of a certificate must-
- (a) inform the Regulator, if he or she intends to dispose of that unpolished diamond, of his or her intention.
 - (b) submit the certificate together with the unpolished diamond to the Regulator and the Regulator must endorse the certificate to effect change of ownership; and
 - (c) submit a copy of a will or codicil or testamentary instrument certified by the Master of the Supreme Court together with the unpolished diamond to the Regulator, if the unpolished diamond is transferred in pursuance of the provision of any will, codicil or other testamentary instrument.

Issuing or refusal of a certificate

- 2. U.** (1) The Regulator may within 7 days issue a certificate if-
- (a) the applicant is not in contravention of the provision of the Act or any other law; and
 - (b) the applicant has met all the requirements referred to in regulation 2.S.

Application for permit entitling a person to sell, export or import unpolished diamond

- 2.V.** (1) An application for a permit contemplated in section 26(h) of the Act must be completed in the form of Form DK(iii) contained in the Annexure, and must contain-
- (a) the name, surname and identity number of the applicant;
 - (b) in the case of a company, close corporation, partnership or joint venture,
 - (i) the name and registration number of the company, close corporation, partnership or joint venture;

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(ii) the name and identity number of the Managing Director or Member of the company, close corporation, partnership or joint venture,

(iii) the name and identity number of every Director, and

(iv) particulars of an interest held (%) in the juristic person and name of holder of the controlling interest.

(c) the source from which unpolished diamond was acquired;

(d) characteristics, mass and value of unpolished diamond;

(e) reasons for not beneficiating such unpolished diamond, in the case of application for permit to sell or export;

(f) details of the target market and the market requirement in respect of that unpolished diamond; and

(g) particulars of criminal records, if applicable.

(2) The following documents must be lodged with the application-

(a) a certified copy of an identity document;

(b) in the case of a company, close corporation, partnership or joint venture, certified copies of the certificate of incorporation, article of association and founding statement (CK1);

(c) documentary proof that the applicant has obtained the necessary authority to apply in a representative capacity on behalf of the company, close corporation, partnership or joint venture; and a certified copy of the managing director or member;

(d) documentary proof indicating the source from which unpolished diamond was acquired;

(e) a tax clearance certificate; and

(f) a police clearance certificate.

(3) An application for a certificate must be lodged together with an application fee referred to in regulation 10.

Terms and conditions of a permit

2. W. The holder of a permit must-

(1) first offer for sale such unpolished diamond to the State Diamond Trader.

(2) if it happens that the State Diamond Trader does not purchase the offered unpolished diamonds from a permit holder, then a permit holder may

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- (a) sell an unpolished diamonds to other dealer or a diamond beneficiator;
- (b) import and export unpolished diamond; or
- (c) conduct business on the premises approved or endorsed by the Regulator.

Issuing or refusal of permit

2. X. (1) The Regulator may issue a permit if –

- (a) the applicant complies with all the requirements referred to in regulation 2.V; and.
- (b) the applicant is not in contravention of the provision of the Act or any other law.

(2) The Regulator may refuse the issuing of a permit if, he or she is satisfied that the issuing of such permit will defeat the objects of the Act,

Repeal of regulation 3 of the Regulations

3. Regulation 3 of the Regulations is hereby repealed.

Insertion of regulation 3A in the Regulations

4. The following regulation is hereby inserted after regulation 3 of the Regulations:

Manner of offering unpolished diamonds^s produced to the State Diamond Trader.

3A (1) A diamond producer shall offer for sale all unpolished diamonds produced at the end of every production cycle to the State Diamond Trader at a place or premises to be determined by the State Diamond Trader;

(2) The producer shall sort unpolished diamonds^s according to value, gem and caratage for the purpose of sub- regulation (1);

(3) The State Diamond Trader may then purchase a ten percent in value and carats of the unpolished diamond offered in terms of sub-regulation (1);

(4) Any diamond producer who fails to offer unpolished diamond produced in a production cycle to the State Diamond Trader, shall be guilty of an offence.

Insertion of regulation 4A in the Regulations

5. The following regulation is hereby inserted after regulation 4 of the Regulations:

Manner of disclosing synthetic or enhanced diamond

“4A. (1) Whenever any person so entitled, delivers or sells any synthetic or enhanced diamond, whether unpolished or polished, such person must complete the disclosure in the form of FormDK(iv) contained in the Annexure, and such form must contain -

- (a) the name, surname and identity number of the seller;
 - (b) in the case of a company, close corporation, partnership or joint venture being the seller, the name and registration number of the company, close corporation, partnership or joint venture;
 - (c) the characteristics of diamonds, as well as mass and value of the diamond; and
 - (d) a declaration that such diamond is a synthetic or enhanced diamond as the case maybe.
- (2) Any person, who fails or falsely declare that a diamond is synthetic or enhanced diamond, shall be guilty of an offence.”

Amendment of regulation 5 of the Regulations

6. Regulation 5 of the Regulations is hereby amended-

(a) by the substitution for regulation (5) of the following regulation:

“(5) The Regulator shall from time to time in the Government Gazette declare premises a diamond exchange and export centre for the purpose of facilitating the buying, selling, exporting and importing of diamonds.”

Amendment of regulation 6 of the Regulations

7. Regulation 6 of the Regulations is hereby amended-

(b) by the insertion after sub regulation (1) of the following sub regulations:

(1A) In the case of-

- (a) a producer or a diamond dealer, every entry must be made within twenty four hours after each transaction; and
- (b) a manufacturer of synthetic diamonds or a permit holder such, entry must be made within 7 days after each transaction.

Amendment of regulation 9 of the Regulations

8. Regulation 9 of the Regulations is hereby amended-

(a) by the substitution for the phrase “registering officer” whenever it occurs, of the phrase “ person designated by the Regulator;” and

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(b) by the insertion after sub regulation (1) of the following sub regulations:

(1A) The person who has assessed the value of an unpolished diamond referred to in sub regulation (1) shall issue a valuation certificate.

(1B) A certificate contemplated in sub-regulation (1A) shall contain:

(a) the name of the purchaser;

(b) the mass of an unpolished diamond;

(c) the value of an unpolished diamond; and

(d) the name of the owner of an unpolished diamond.

(1C) The certificate referred to in sub regulation (1A) shall be valid for a period not exceeding one month from the date it was issued.

Amendment of regulation 10 of the Regulations

9. Regulation 10 of the Regulations is hereby amended-

(a) by the substitution in sub-paragraph (a) of sub regulation (1) for the phrase “diamond cutting licence” of the phrase “diamond beneficiation licence”

(b) by the substitution in paragraph (c) of sub regulation (1) for the words “diamond tool-making licence” of the words “diamond beneficiation licence”.

Amendment of regulation 11 of the Regulations

10. Regulation 11 of the Regulations is hereby amended-

(a) by the substitution for the phrase “diamond exchange” whenever it occurs, of the phrase “diamond exchange and export centre”.

(b) by the insertion after sub regulation (2) of the following sub regulation:

“(2A) All unpolished diamond referred to in sub regulation (2) shall be offered at the diamond exchange and export centre together with-

(a) a note of purchase or receipt in respect of all unpolished diamond;

(b) a declaration that it is to the best knowledge and belief of the specific licence or permit holder that the reserve price so specified in the return is the fair market value of the unpolished diamond; and

(c) in the case of imported diamond, the Kimberley Process Certificate Scheme.

(d) by the substitution for sub regulation (3) of the following sub regulation:

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“(3) Unpolished diamond intended for export shall be offered on a diamond exchange and export centre for a minimum of four consecutive working days prior to the export of those unpolished diamonds,”

(d) by the deletion of sub regulation (7).

Amendment of regulation 13 of the Regulations

(a) 11. Regulation 13 of the Regulations is hereby amended by the insertion after sub regulation (4) of the following sub regulations:

- “(4A) Form DA is an application form for a diamond dealer’s licence;
- (4B) Form DB (i) is an application form for the renewal of a diamond dealer’s licence;
- (4C) Form DC is an application form for a diamond beneficiation licence;
- (4D) Form DE is an application form for the renewal of a diamond beneficiation licence;
- (4E) Form DF is an application form for a diamond research licence;
- (4F) Form DG (i) is an application form for a temporary buyer’s permit;
- (4G) Form DH (i) is an application form for a diamond trading house licence;
- (4H) Form DK (i) is an application for renewal of a diamond trading house licence;
- (4I) Form DK (ii) is an application form for a certificate;
- (4J) Form DK (iii) is a permit to sell, export and import unpolished diamond on prescribed conditions; and
- (4K) Form DK (iv) is a Disclosure of a synthetic or an enhanced diamond”.

Amendment of regulation 14 of the Regulations

11. Regulation 14 of the Regulations is hereby amended-

(b) by the addition after paragraph (b) of the following paragraph:

“(c) in the case of an offence referred to in regulation 3A (5) or 4A(2), to a fine not exceeding R2 50 000 or imprisonment for a period not exceeding ten years.

Annexure

Form:
Vorm: DA

Application No/Nr : _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001 Doornfontein 2028

APPLICATION FOR DIAMONDS DEALER'S LICENCE

[In terms of section 26(a) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s) _____

- (iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

- (iv) Has the applicant ever been convicted of any criminal offence in or outside the R.S.A, (Yes/No).If Yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

- CC. _____ Partnership/Joint venture _____
- Co. _____ Other (specify) _____

- (i) Name of company, close corporation, partnership or joint venture:

- (ii) Registration number of Co. or CC:

- (iii) Full names and identity number of Managing Director or Member:

- (iv) Full Names and Identity number of every Director:

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(v) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

2. State source(s) from which unpolished diamonds will be obtained:

3. Details of applicant's financial ability:

4. Details of the target market and market requirements;

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

5. Business address:

(a) Building Name:

(i) Building Number:	_____
(ii) Street Number:	_____
(iii) Street Name:	_____
(iv) Suburb:	_____
(v) Town/City:	_____
(vi) Postal code:	_____
(vii) Province:	_____
(viii) Country:	_____
(ix) Telephone number:	_____
(x) Fax no.:	_____
(xi) Cell phone no:	_____
(xii) E-mail address:	_____

(b) Relevant postal address:

(i) Postal Address:	_____
(ii) Town/City:	_____
(iii) Postal code:	_____

(Attach documentary proof of the registered business premises)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING:

1. A certified copy of the identity document, if applicable.
2. A certified copy of certificate of incorporation, articles of association or founding statement if applicable.

DRAFT

3. A copy of resolution, if acting in a representative capacity.
4. Documentary proof of the registered business premises.
5. Documentary proof of the applicant's financial ability or access thereto.
6. A tax clearance certificate.
7. Business Plan.
8. A police clearance certificate.
9. A non refundable prescribed fee-----

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

Form:
Vorm: DB (i)

Application No/Nr : _____
LICENCE NUMBER _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001 Doornfontein 2028

APPLICATION FOR RENEWAL OF A DIAMONDS DEALER'S LICENCE

[In terms of section 32(2)(b) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name (s) _____

(iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

DRAFT

(iv) Has the applicant ever been convicted of any criminal offence in or outside the R.S.A, (Yes/No).If Yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____
Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of Managing Director or Member:

(iv) Full Names and Identity number of every Director:

(iiv) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

2. State source(s) from which unpolished diamonds will be obtained:

3. Reasons why renewal is required:

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

4. Business address:

(a) Building Name:

(i) Building Number: _____
(ii) Street Number: _____
(iii) Street Name: _____

(iv) Suburb: _____
(v) Town/City: _____
(vi) Postal code: _____
(vii) Province: _____
(viii) Country: _____
(ix) Telephone number: _____
(x) Fax no.: _____
(xi) Cell phone no: _____
(xii) E-mail address: _____

(b) Relevant postal address:

DRAFT

(i) Postal Address: _____

(ii) Town/City: _____
(iii) Postal code: _____

(Attach documentary proof of the registered business premises)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of the identity document, if applicable.
2. A certified copies of certificate of incorporation and articles of association or founding statement,if applicable.
3. A copy of resolution, if acting in a representative capacity.
4. Documentary proof of business premises.
5. A police clearance certificate.
6. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

Form:
Vorm : DC

Application No/Nr : _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001Doornfontein 2028

APPLICATION FOR DIAMONDS BENEFICIATION LICENCE

[In terms of section 26(b) or (c) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s) _____

- (iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

(iv) Has the applicant ever been convicted of any criminal offence in or outside the R.S.A, (Yes/No).If Yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____
 Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of Managing Director or Member:

(iv) Full names and Identity number of every director:

(v) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

2. Description of applicant's technical ability:

3. Details of the applicant's financial ability:

4. Details of the target market and market requirement:

5. State source(s) from which unpolished diamonds will be obtained:

DRAFT

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. Business address :

- (a) Building Name: _____
- (i) Building Number: _____
- (ii) Street Number: _____
- (iii) Street Name: _____
- (iv) Suburb: _____
- (v) Town/City: _____
- (vi) Postal code: _____
- (vii) Province: _____
- (viii) Country: _____
- (ix) Telephone number: _____
- (x) Fax no.: _____
- (xi) Cell phone no: _____
- (xii) E-mail address: _____

(b) Relevant postal address:

- (i) Postal Address: _____
- (ii) Town/City: _____
- (iii) Postal code: _____

(Attach documentary proof of the registered business premises)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of the identity document, if applicable.
2. A certified copy of certificate of incorporation, article of association or founding statement if applicable.
3. A copy of resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's technical ability.
5. Documentary proof of the applicant's financial ability or access thereto.
6. Documentary proof of registered business premises.
7. A police clearance certificate.
8. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

DRAFT

Form:
Vorm: DE

Application No/Nr : _____
LINCENCE NUMBER: _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001 Doornfontein 2028

APPLICATION FOR RENEWAL OF DIAMONDS BENEFICIATION LICENCE

[In terms of section 32(2) (b) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s) _____
- (iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

(iv) Has the applicant ever been convicted of any criminal offence in or outside the R.S.A, (Yes/No).If Yes , Furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC. _____	Partnership/Joint venture _____
Co. _____	Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Name and identity number of Managing Director, Member:

(iv) Full Names and Identity number of every Director:

(v) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

DRAFT

2. State reasons for renewal:

Four horizontal lines for text entry.

*(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

3. (a) Business address:

List of business address fields: (i) Building Name, (ii) Building Number, (iii) Street Number, (iv) Street Name, (v) Suburb, (vi) Town/City, (vii) Postal code, (viii) Province, (ix) Country, (x) Telephone number, (xi) Fax no., (xii) Cell phone no., (xiii) E-mail address. Each field has a corresponding horizontal line.

(b) Relevant postal address:

Postal address fields: (i) Postal Address, (ii) Town/City, (iii) Postal code. Each field has a corresponding horizontal line.

(Attach documentary proof of the registered business premises)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

- 1. A certified copy of the identity document, if applicable.
2. A certified copy of certificate of incorporation, article of association or founding statement if applicable.
3. A copy of resolution, if acting in a representative capacity.
4. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

DRAFT

Form:
Vorm: DF

Application No/Nr: _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001 Doornfontein 2028

APPLICATION FOR DIAMOND RESEARCH LICENCE

[In terms of section 26(d) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s) _____
- (iii) Identity number: _____

**(certified copy of the identity document must be attached)*

- (iv) Has the applicant ever been convicted of a criminal offence in or outside the R.S.A? (Yes/No). If Yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____
 Co. _____ Other (specify) _____

- (i) Name of company, close corporation, partnership or joint venture:

- (ii) Registration number of Co. or CC:

- (iii) Full names of Managing Director or Member:

- (iv) Full names and Identity number of every Director :

- (iv) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

2. State source(s) from which unpolished diamonds will be obtained:

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

3. Business address:

(a) Building Name: _____

(i) Building Number: _____

(ii) Street Number: _____

(iii) Street Name: _____

(iv) Suburb: _____

(v) Town/City: _____

(vi) Postal code: _____

(vii) Province: _____

(viii) Country: _____

(ix) Telephone number: _____

(x) Fax no.: _____

(xi) Cell phone no: _____

(xii) E-mail address: _____

(b) Relevant postal address:

(i) Postal Address: _____

(ii) Town/City: _____

(iii) Postal code: _____

(Attach documentary proof of the registered business premises)

PART C: STATE THE PURPOSE OF THE APPLIED RESEARCH OR TEST

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of identity document, if applicable.
2. A certified copy of certificate of incorporation, articles of association and founding statement if applicable.
3. A copy of resolution, if acting in a representative capacity,
4. Documentary proof of the registered business premises
5. A police clearance certificate
6. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____.

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

Form:
Vorm: DG (i)

Application No/Nr: _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001 Doornfontein 2028

APPLICATION FOR TEMPORARY BUYER'S PERMIT

[In terms of section 26(e) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s) _____
- (iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

(iv) Has the applicant ever been convicted of a criminal offence in or outside the R.S.A, (Yes/No). If Yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

- CC. _____ Partnership/Joint venture _____
- Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

DRAFT

(iii) Full names and identity number of Managing Director or Member:

(iv) Full names and Identity number of every Director:

(v) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

2. Details of the applicant's financial ability:

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

3. Business address:

(a) Building Name:

(i) Building Number:

(ii) Street Number:

(iii) Street Name:

(iv) Suburb:

(v) Town/City:

(vi) Postal code:

(vii) Province:

(viii) Country:

(ix) Telephone number:

(x) Fax no.:

(xi) Cell phone no:

(xii) E-mail address:

(b) Relevant postal address:

(i) Postal Address:

(iii) Postal code:

(Attach documentary proof of the registered business premises)

PART C: PROVEN KNOWLEDGE OF UNPOLISHED DIAMOND:

1. Number of years dealing in unpolished diamonds _____

2. Area or field of expertise in diamonds

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

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1. A certified copy of a passport, if applicable.
2. A certified copy of certificate of incorporation and articles of association or founding statement, if applicable.
3. A copy of resolution, if acting in a representative capacity.
4. Documentary proof of the applicant's financial ability.
5. An Affidavit setting forth the knowledge of unpolished diamonds.
6. A police clearance certificate.
- 7 A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

Form:
Vorm : DH(i)

Application No/Nr : _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001Doornfontein 2028

APPLICATION FOR DIAMONDS TRADING HOUSE LICENCE

[In terms of section 26(f) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. In the case of a natural person, please provide the following:

(i) Surname: _____

(ii) First name (s) _____

(iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

DRAFT

(iv) Has applicant ever been convicted of a criminal offence in or outside the R.S.A, (Yes/No).If Yes ,
Furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____
Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full name and identity number of Managing Director or Member:

(iv) Full names and Identity number of every Director:

(iv) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. Business address:

(a) Building Name: _____
(i) Building Number: _____
(ii) Street Number: _____
(iii) Street Name: _____

(iv) Suburb: _____
(v) Town/City: _____
(vi) Postal code: _____
(vii) Province: _____
(viii) Country: _____
(ix) Telephone number: _____
(x) Fax no.: _____
(xi) Cell phone no: _____
(xii) E-mail address: _____

(b) Relevant postal address:

(i) Postal Address: _____

(ii) Town/City: _____
(iii) Postal code: _____

(Attach documentary proof of the registered business premises)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of the identity document, if applicable.

DRAFT

- 2. A certified copy of certificate of incorporation, articles of association or founding statement, if applicable.
- 3. A copy of resolution, if acting in a representative capacity.
- 4. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

Form:
Vorm : DK(i)

Application No/Nr : _____
LICENCE NUMBER: _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg. P. O. Box 16001Doornfontein 2028

APPLICATION FOR RENEWAL OF A DIAMOND TRADING HOUSE LICENCE
[In terms of section 32(e) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

- 1. For any enquiries, contact the office of the Regulator during office hours.
- 2. Complete the form in block letters and in black pen.
- 3. Where options are given mark the appropriate block.
- 4. Complete the form in English and do not use abbreviations.
- 5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
- 6. Ensure that all the required documentations accompany the application
- 7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s) _____
- (iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

(iv) Has the applicant ever been convicted of a criminal offence in or outside the R.S.A, (Yes/No).If Yes, furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____
Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full name of Managing Director or Member:

(iv) Full Names and Identity number of every Director :

(vi) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

2. State reasons for renewal:

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2. (a) Business address :

(i) Building Name: _____
(ii) Building Number: _____
(iii) Street Number: _____
(iv) Street Name: _____

(v) Suburb: _____
(vi) Town/City: _____
(vii) Postal code: _____
(viii) Province: _____
(ix) Country: _____
(x) Telephone number: _____
(xi) Fax no.: _____
(xii) Cell phone no: _____
(xiii) E-mail address: _____

(b) Relevant postal address:

(i) Postal Address: _____

(ii) Town/City: _____
(iii) Postal code: _____

(Attach documentary proof of the registered business premises)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of the identity document, if applicable.
2. A certified copy of certificate of incorporation, articles of association or founding statement, if applicable.
- 3 A copy of resolution, if acting in a representative capacity,

DRAFT

- 4. A police clearance certificate,
- 5. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

Form :
Vorm : DK(ii)

Application No/Nr : _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

124 Diamond and Gold Centre, Cnr Market and Commissioners Streets
Marshalltown. Private Bag X 23 Marshalltown 2000

APPLICATION FOR CERTIFICATE

[In terms of section 26(g) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

- 1. For any enquiries, contact the office of the Regulator during office hours
- 2. Complete the form in block letters and in black pen.
- 3. Where options are given mark the appropriate block.
- 4. Complete the form in English and do not use abbreviations.
- 5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
- 6. Ensure that all the required documentations accompany the application
- 7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following :

Surname: _____
First name(s) _____

Identity number: _____
**(A certified copy of the identity document must be attached)*

(b) In the case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____
Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC

2. Indicate manner of acquisition:

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

3. Indicate characteristics, mass and value of unpolished diamond;

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

3. (a) Business address :

- (i) Building Name: _____
- (ii) Building Number: _____
- (iii) Street Number: _____
- (iv) Street Name: _____
- (v) Suburb: _____
- (vi) Town/City: _____
- (vii) Province: _____
- (viii) Country: _____
- (viii) Telephone number: _____
- (x) Fax no.: _____
- (xi) Cell phone no: _____
- (xii) E-mail address: _____

(b) Relevant postal address:

- Postal Address: _____
- Town/City: _____
- Postal code: _____

** (documentary proof of the registered business premises must be attached)*

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of the passport, if applicable.
2. A certified copy of certificate of incorporation, articles of association or founding statement, if applicable.
3. Copy of resolution, if acting in a representative capacity.
4. Copy of the testament, codicil or will certified by the Master of the Supreme Court.
5. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE(IF APPLICABLE)

DRAFT

Form:
Vorm: DK (iii)

Application No/Nr: _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

South African Diamond Centre, Cnr Main and Phillip Streets
Johannesburg, P. O. Box 16001Doornfontein 2028

APPLICATION FOR A PERMIT

[in terms of section 26(h) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours.
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF APPLICANT

1. (a) In the case of a natural person, please provide the following:

- (i) Surname: _____
- (ii) First name (s) _____
- (iii) Identity number: _____

**(A certified copy of the identity document must be attached)*

(iv) Has the applicant ever been convicted of any criminal offence in or outside the R.S.A, (Yes/No). If Yes, Furnish particulars on a separate sheet of paper.

(b) In the case of a person other than a natural person, please indicate:

CC. _____	Partnership/Joint venture _____
Co. _____	Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii) Registration number of Co. or CC:

(iii) Full names and identity number of Managing Director or Member:

(iv) Full names and Identity number of every Director:

(v) Particulars of interest held (%) in the juristic person and name of holder of the controlling interest:

DRAFT

2. Indicate reasons for not benefiting such diamond:

**(in the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

3. (a) Business address:

(i) Building Name: _____
(ii) Building Number: _____
(iii) Street Number: _____
(iv) Street Name: _____

(v) Suburb: _____
(vi) Town/City: _____
(vii) Postal code: _____
(viii) Province: _____
(ix) Country: _____
(x) Telephone number: _____
(xi) Fax no.: _____
(xii) Cell phone no: _____
(xiii) E-mail address: _____

(b) Relevant postal address:

(i) Postal Address: _____

(ii) Town/City: _____
(iii) Postal code: _____

(Attach documentary proof of the registered business premises)

THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of the identity document, if applicable.
2. A certified copy of certificate of incorporation, if applicable.
3. Copy of resolution, if acting in a representative capacity.
4. A police clearance certificate of country of origin and South Africa.
5. A non refundable prescribed fee. _____

PART C: DECLARATION

I, the applicant _____ hereby declare that the contents of this application are true and correct.

Capacity: _____

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)

DRAFT

Form:
Vorm: DK (iv)

Application No/Nr : _____

SOUTH AFRICAN DIAMONDS AND PRECIOUS METALS REGULATOR

124 Diamond and Gold Centre, Cnr Market and Commissioners Streets
Marshalltown. Private Bag X 23 Marshalltown 2000

DISCLOSURE OF A SYNTHETIC OR ENHANCED DIAMONDS

[In terms of section 26(a) of the Diamonds Amendment Act, 2005(Act 27 of 2005)]

Instructions:

1. For any enquiries, contact the office of the Regulator during office hours (refer to List 1).
2. Complete the form in block letters and in black pen.
3. Where options are given mark the appropriate block.
4. Complete the form in English and do not use abbreviations.
5. Although the application forms are made available in electronic format, only a signed original hard copy shall be acceptable.
6. Ensure that all the required documentations accompany the application
7. The application must be submitted to the operational business premises of the Regulator.

PART A : PARTICULARS OF MANUFACTURER

1. (a) In case of a natural person, please provide the following :

Surname: _____

First name(s) _____

Identity number: _____

**(A certified copy of the identity document must be attached)*

(b) In case of a person other than a natural person, please indicate:

CC. _____ Partnership/Joint venture _____

Co. _____ Other (specify) _____

(i) Name of company, close corporation, partnership or joint venture:

(ii). Registration number of Co. or CC

**(In the case of a company, close corporation, partnership or joint venture, certified copy of a certificate of incorporation must be attached);*

PART B: CORRESPONDENCE ADDRESS FOR THIS APPLICATION

2 (a) Business address:

(i) Building Name: _____

(ii) Building Number: _____

(iii) Street Number: _____

(iv) Street Name: _____

(v) Suburb: _____

(vi) Town/City: _____

(vii) Province: _____

(viii) Country: _____

(ix) Telephone number: _____

(x) Fax no.: _____

(xi) Cell phone no: _____

(xii) E-mail address: _____

(b) Relevant postal address:

(i) Postal Address: _____

(ii) Town/City: _____

(iii) Postal code: _____ - _____

** (documentary proof of the registered business premises must be attached)*

PART C: CHARACTERISTICS OF DIAMONDS

	Carats mass/weight	Value US\$
Synthetic diamond/ or enhanced <i>* indicate the applicable</i>		
TOTAL		

PART D: THE APPLICATION MUST BE ACCOMPANIED BY THE FOLLOWING::

1. A certified copy of the identity document, if applicable.
2. A certified copy of certificate of incorporation, if applicable.
3. Copy of resolution, if acting in a representative capacity.
4. A non refundable prescribed fee. _____

PART E: DECLARATION

I _____ hereby declare that the information contained in this form and in the prescribed register confirming substantiation of Part C referred to above is true and correct.
**(attach prescribed register)*

Signed at _____ on the _____ day of _____ .

SIGNATURE OF APPLICANT/ REPRESENTATIVE (IF APPLICABLE)